Leveraging Automated Sentiment Analysis in Software Engineering

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Abstract—Automated sentiment analysis in software engineering textual artifacts has long been suffering from inaccuracies in those few tools available for the purpose. We conduct an in-depth qualitative study to identify the difficulties responsible for such low accuracy. Majority of the exposed difficulties are then carefully addressed in developing SentiStrength-SE, a tool for improved sentiment analysis especially designed for application in the software engineering domain. Using a benchmark dataset consisting of 5,600 manually annotated JIRA issue comments, we carry out both quantitative and qualitative evaluations of our tool. SentiStrength-SE achieves 73.85% precision and 85% recall, which are significantly higher than a state-of-the-art sentiment analysis tool we compare with.

I. INTRODUCTION

Emotions are an inseparable part of human nature, which influence people’s activities and interactions, and thus emotions affect task quality, productivity, creativity, group rapport and job satisfaction [10]. Software development being highly dependent on human efforts and interactions, is more susceptible to emotions of the practitioners. Hence, a good understanding of the developers’ emotions and their influencing factors can be exploited for effective collaborations, task assignments [14], and in devising measures to boost up job satisfaction, which, in turn, can result in increased productivity and projects’ success.

Several studies have been performed in the past for understanding the role of human aspects on software development and engineering. Some of those earlier studies address when and why employees get affected by emotions [10], [21], [22], [45], [56], whereas some other work address how [19], [25], [26], [30], [31], [35], [57], [58] the emotions impact the employees’ performance at work.

Attempts are made to capture the developers’ emotions in the workplace by means of traditional approaches such as, interviews, surveys [57], and biometric measurements [32]. Capturing emotions with the traditional approaches is more challenging for projects relying on geographically distributed team settings and voluntary contributions (e.g., open-source projects) [13], [21]. Moreover, the traditional approaches involving direct observations and interactions with the developers often hinder their natural workflow. Thus, to supplement or complement those traditional approaches, recent attempts detect sentiments from the software engineering textual artifacts such as issue comments [9], [12], [24], [29], [26], [31], [40], [45], email contents [17], [56], and forum posts [22], [38].

For automated extraction of sentiments from textual artifacts in the software engineering domain, three tools (i.e., SentiStrength [54], NLTK [36], and Stanford NLP [52]) are used while the use of SentiStrength is found dominant [28], [37]. However, software engineering studies [9], [12], [25], [28], [39], [45], [55], [56] involving sentiment analysis repeatedly report concerns about the accuracy of those sentiment analysis tools in the detection of sentimental polarities (i.e., negativity, positivity, and neutrality) of plain text contents. For example, when applied in the software engineering domain, SentiStrength and NLTK are respectively reported to have only 29.56% and 52.17% precision in identifying positive sentiments, and even lower precision of 13.18% and 23.45% respectively in the detection of negative sentiments [28], [56].

Those sentiment analysis tools are developed and trained using data from non-technical social networking media (e.g., twitter posts, forum posts, movie reviews) and when operated in a technical domain such as software engineering, their accuracy substantially degrades largely due to domain-specific variations in meanings of frequently used technical terms. Although such a domain dependency is indicated as a general difficulty against automated sentiment analysis in textual content, we need a deeper understanding of why and how such domain dependencies affect the performance of the tools, and how we can mitigate them. Indeed, the software engineering community demands a more accurate automatic sentiment analysis tool [9], [12], [26], [28], [39], [42], [45], [51], [56]. In this regard, this paper makes two major contributions:

- Using a large benchmark dataset, we carry out an in-depth exploratory study for exposing the difficulties in automatic sentiment analysis in textual content in a technical domain such as software engineering. To the best of our knowledge, in the literature, no such study exists that investigates public benchmark dataset to identify challenges to sentiment analysis in software engineering.
- We propose techniques and realize those in SentiStrength-SE, a prototype tool that we develop for improved sentiment analysis in software engineering textual content. The tool is also made freely available online [50].

Instead of building a tool from scratch, we develop our SentiStrength-SE on top of SentiStrength [54],
which, till date, is the most widely used tool for automated sentiment analysis in software engineering. From quantitative comparison with the original SentiStrength [54] as operated in the software engineering domain, we found that our SentiStrength-SE significantly outperforms the state-of-the-art tool SentiStrength. We further conduct a qualitative evaluation of our tool. Based on the exploratory study and the qualitative evaluation, we outline plans for further improvements in automated sentiment analysis in the software engineering area.

II. EXPLORATORY STUDY OF THE DIFFICULTIES IN SENTIMENT ANALYSIS

To explore the difficulties in automated sentiment detection in text, we conduct our qualitative analysis around the Java version of SentiStrength [54]. This Java version is the latest release of SentiStrength, while the older version, strictly for use on Windows platform, is still available. SentiStrength is a state-of-the-art sentiment analysis tool most widely adopted in the software engineering community. The reasons for choosing this particular tool are further justified in Section VI.

English dictionaries consider the words ‘emotion’ and ‘sentiment’ as synonymous, and accordingly the words are often used in practice. Although there is arguably a subtle difference between the two, in describing this work, we consider them synonymous. We formalize that a human expression can have two perceivable dimensions: sentimental polarity and sentimental intensity. Sentimental polarity indicates the positivity, negativity, or neutrality of expression while sentimental intensity captures the strength of the emotional/sentimental expression, which sentiment analysis tools often report in numeric emotional scores.

A. Benchmark Data

In our work, we use a “Gold Standard” dataset [2], [42], which consists of 5,992 issue comments extracted from JIRA issue tracking system. The entire dataset is divided in three groups named as Group-1, Group-2 and Group-3 containing 392, 1,600 and 4,000 issue comments respectively. Each of the 5,992 issue comments are manually interpreted by n distinct human raters [42] and annotated with emotional expressions as found in those comments. For Group-1, n = 4 while for Group-2 and Group-3, n = 3. This is the only publicly available such dataset in the software engineering domain [42].

A closed set $\mathcal{E}$ of emotional expressions are used in the annotation of the issue comments in the dataset, where $\mathcal{E} = \{joy, love, surprise, anger, sad, fear\}$. The human raters labeled each of the issue comments depending on whether or not they found the sentimental expressions in the comments. Formally,

$$\mathcal{F}_{\mathcal{E}_i}(C) = \begin{cases} 1, & \text{if emotion } \mathcal{E}_i \text{ is found in } C \text{ by rater } r_j. \\ 0, & \text{otherwise.} \end{cases}$$

An example of human annotations of an issue comment from the dataset is shown in Table I.

B. Emotional Expressions to Sentimental Polarities

Emotional expressions joy and love convey positive sentimental polarity, while anger, sadness, and fear express negative polarity. In some cases, an expression of surprise can be positive in polarity, denoted as surprise+, while other cases can convey a negative surprise, denoted as surprise−. Thus the issue comments in the benchmark dataset, which are annotated with surprise expression, need to be further distinguished based on the sentimental polarities they convey. Hence, we get each of such comments reinterpreted by three additional human (computer science graduate students) raters, who independently determine polarities of the surprise expressions in each comments.

We consider a surprise expression in a comment polarized negatively (or positively), if two of the three rates identify negative (or positive) polarity in it. We found 79 issue comments in the benchmark dataset, which were annotated with the surprise expression. 20 of them express surprise with positive polarity and the rest 59 convey negative surprise.

Then we split the set $\mathcal{E}$ of emotional expressions into two disjoint sets as $\mathcal{E}_+ = \{joy, love, surprise^+\}$ and $\mathcal{E}_- = \{anger, sad, fear, surprise^-\}$. Thus, $\mathcal{E}_+$ contains only the positive sentimental expressions and $\mathcal{E}_-$ contains only the negative sentimental expressions. A similar approach is also used in other studies [28], [29] to categorize emotional expressions according to their polarities.

C. Computation of emotional scores from human rated dataset

For each of the issue comments in the “Gold Standard” dataset, we compute sentimental polarity using the polarity labels assessed by the human raters. For an issue comment $C$ rated by $n$ human raters, we compute a pair $(\rho^E_i, \eta^E_i)$ of values for each of the $n$ raters $r_j$ (where $1 \leq j \leq n$) using Equation 1 and Equation 2:

$$\rho^E_i = \begin{cases} 1, & \text{if } \sum_{\mathcal{E}_i \in \mathcal{E}_+} \mathcal{F}_{\mathcal{E}_i}(C) > 0 \\ 0, & \text{otherwise.} \end{cases} \quad (1)$$

$$\eta^E_i = \begin{cases} 1, & \text{if } \sum_{\mathcal{E}_i \in \mathcal{E}_-} \mathcal{F}_{\mathcal{E}_i}(C) > 0 \\ 0, & \text{otherwise.} \end{cases} \quad (2)$$

Thus, if a rater $r_j$ finds the presence of any of the positive sentimental expressions in the comment $C$, then $\rho^E_i = 1$, otherwise $\rho^E_i = 0$. Similarly, if any of the negative sentimental expressions
expressions are found in the comment $C$, then $\eta_C^\ell = 1$, otherwise $\eta_C^\ell = 0$.

An issue comment $C$ is considered neutral in sentimental polarity, if we get the pairs $\langle \rho_C^t, \eta_C^t \rangle$ for at least $n - 1$ (i.e., majority) raters where $\rho_C^t = 0$ and $\eta_C^t = 0$. If the comment is not neutral, then we determine the positive and negative sentimental polarities of that issue comment. To do that, using the following equations, we count the number of human raters, $R_+(C)$ who found positive sentiment in the comment $C$ and also the number of raters, $R_-(C)$, who found negative sentiment in the comment $C$.

\[
R_+(C) = \sum_{j=1}^{n} \rho_C^j \quad \text{and} \quad R_-(C) = \sum_{j=1}^{n} \eta_C^j
\]

An issue comment $C$ is considered exhibiting positive sentiment, if at least $n - 1$ human raters found positive sentiment in the message. Similarly, we consider a comment having negative sentiment if at least $n - 1$ raters found negative sentiment in it. Finally, we compute the sentimental polarities of an issue comment $C$ as a pair $\langle \rho_C^\ell, \eta_C^\ell \rangle$ using Equation 3 and Equation 4.

\[
\rho_C^\ell = \begin{cases} 
0, & \text{if } C \text{ is neutral} \\
+1, & \text{if } R_+(C) \geq n - 1 \\
-1, & \text{otherwise}
\end{cases} 
\]

\[
\eta_C^\ell = \begin{cases} 
0, & \text{if } C \text{ is neutral} \\
+1, & \text{if } R_-(C) \geq n - 1 \\
-1, & \text{otherwise}
\end{cases} 
\]

Thus, $\rho_C^\ell = 1$, only if the comment $C$ has positive sentiment and $\eta_C^\ell = 1$ only if the comment contains negative sentiment. Note that, a given comment can exhibit both positive and negative sentiments at the same time. A comment is considered sentimentally neutral when the pair $\langle \rho_C^\ell, \eta_C^\ell \rangle$ for the comment appear to be $(0, 0)$. Similar approach is also followed to determine sentiments of comments in another study [28].

1) Illustrative Example of Computing Sentimental Polarity: Consider the issue comment in Table I. For this issue comment, we compute the pair $\langle \rho_C^\ell, \eta_C^\ell \rangle$ for all four raters (i.e., $n = 4$). As for only one (the second rater) out of four raters we get the pair as (0, 0), the comment is not considered neutral. Hence, we compute the values of $R_+(C)$ and $R_-(C)$, which are three and zero respectively. $R_+(C)$ being three satisfies the condition of $R_+(C) \geq n - 1$. Thus, $\rho_C^\ell = 1$, which means that the comment in Table I has positive sentiment. For the same comment $R_-(C) < n - 1$ and so $\rho_C^\ell = -1$, which signifies that the comment has no negative sentiment.

D. Sentiment Detection Using SentiStrength

We apply SentiStrength to determine the sentiments expressed in the issue comments in Group-1 of the “Gold Standard” dataset. Sentiment analysis using SentiStrength on a given piece of text (e.g., an issue comment) $C$ computes a pair $\langle \rho_C, \eta_C \rangle$ of integers, where $+1 \leq \rho_C \leq +5$ and $-5 \leq \eta_C \leq -1$. Here, $\rho_C$ and $\eta_C$ respectively represent the positive and negative sentimental scores for the given text $C$. A given text $C$ is considered to have positive sentiment if $\rho_C > +1$. Similarly, a text is held containing negative sentiment when $\eta_C < -1$. Besides, a text is considered sentimentally neutral when the sentimental scores for the text appear to be $(1, -1)$.

Hence, for the pair $\langle \rho_C, \eta_C \rangle$ of sentimental scores for an issue comment $C$ computed by SentiStrength, we compute another pair of integers $\langle \rho_C^\ell, \eta_C^\ell \rangle$ as follows:

\[
\rho_C^\ell = \begin{cases} 
1, & \text{if } \rho_C > +1. \\
0, & \text{otherwise}
\end{cases} 
\]

\[
\eta_C^\ell = \begin{cases} 
1, & \text{if } \eta_C < -1. \\
0, & \text{otherwise}
\end{cases} 
\]

Here, $\rho_C^\ell = 1$ signifies that the issue comment $C$ has positive sentiment, and $\eta_C^\ell = 1$ implies that the issue comment $C$ has negative sentiment.

We apply SentiStrength to compute sentimental scores for each of the issue comments in the Group-1 portion of the “Gold Standard” dataset and then for each issue comment $C$, we compute the pair $\langle \rho_C^\ell, \eta_C^\ell \rangle$, which represents the sentimental polarity scores for $C$.

E. Analysis and Findings

For each of the 392 issue comments $C$ in Group-1, we compare the sentimental polarity scores $\langle \rho_C^t, \eta_C^t \rangle$ produced from SentiStrength and the scores $\langle \rho_C^\ell, \eta_C^\ell \rangle$ computed using our approach described in Section II-C. We find a total of 151 comments, for which the $\langle \rho_C^t, \eta_C^t \rangle$ scores obtained from SentiStrength do not match with $\langle \rho_C^\ell, \eta_C^\ell \rangle$. This implies that for those 151 issue comments SentiStrength’s computation of sentiments are probably incorrect.

Upon developing a solid understanding of the sentiment detection algorithm of SentiStrength, we then carefully go through all of those 151 issue comments to identify the reasons/difficulties, which mislead SentiStrength in its identification of sentiments in textual content. We identify 12 such difficulties. Before discussing the difficulties, we first briefly describe the highlights of SentiStrength’s internal working mechanism to develop necessary context and background for the reader.

1) Insights into SentiStrength’s Internal Algorithm: SentiStrength is a lexicon-based classifier that also uses additional (non-lexical) linguistic information and rules to detect sentiment in plain text written in English [54]. SentiStrength maintains a dictionary of several lists of words and phrases as its key dictionaries to compute sentiments in texts. Among these lists, the sentimental words list, list of booster words, list of phrases, and list of negations words play a vital role in the computation of sentiments. The entries in all these lists except the list of negation words are pre-assigned with sentimental scores. The negation words in the fourth list are used to invert the sentimental polarity of a term when the term is located after a negation word in text.

For an input sentence, SentiStrength extracts individual words from the sentence and searches for each of the individual words in the sentimental words list to retrieve the corresponding sentimental scores. Similar search is made in the list of booster words to strengthen or weaken the sentimental scores. The list of phrases is used to distinguish groups of words as commonly used phrases. When such
(D1) Domain-specific meanings of words: In a technical field textual artifacts include many technical jargons, which have polarities in terms of dictionary meanings, but do not really express any sentiments in their technical context. For example, the words ‘Super’, ‘Support’, ‘Value’ and ‘Resolve’ are English words with known positive sentiment, whereas ‘Dead’, ‘Block’, ‘Default’, and ‘Error’ are known to have negative sentiment, but none of these words really bear any sentiment in software development artifacts. As SentiStrength was originally developed and trained for non-technical texts written in plain English, it identifies those words as sentimental words, which is incorrect in the context of a technical field such as software engineering. In the following comment from the “Gold Standard” dataset, SentiStrength considers ‘Error’ as negative sentimental word and detects ‘Support’ and ‘Refresh’ as positive sentimental words. Thus, it assigns both positive and negative sentimental scores to the comment, although the comment is sentimentally neutral.

"This was probably fixed by WODEN-86 which introduced support for the curly brace syntax in the http location template. This JIRA can now be closed. This test case is now passing ... There are now 12 errors reported for Woden on this test caseregenerated the results in r480113. I’ll have the W3C reports refreshed." (Comment ID: 18059)

Similarly, in the following comment, the sentimental word ‘Misuse’ is used in a conditional sentence, which does not express any sentiment, but SentiStrength interprets otherwise.

"Added a couple of small points ... if anyone notices any misuses of the document formatting ..." (Comment ID: 2463)

(D2) Context-sensitive variations in meanings of words: Apart from domain-specific meanings of words, in natural language, some words have multiple meanings depending on the context in which they are used. For example, the word ‘Like’ expresses positive sentiment when it is used in a sentence such as “I like you”. On the other hand, that same word expresses no sentiment in the sentence “I would like to be a sailor, said George Washington”. Words that are considered inherently sentimental often do not carry sentiments when used to express possibility and uncertainty. Distinguishing the context-sensitive meanings of such words is a big challenge for automated sentiment analysis in text and the lexical approach of SentiStrength also falls short in this regard.

For example, in the following issue comment, the sentimental word ‘Nice’ is used simply to express possibility regarding change of something, but SentiStrength incorrectly computes positive sentiment in the message.

"The change you want would be nice; but is simply not possible. The form data ... Jakarta FileUpload library." (Comment ID: 51837)

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(D3) Misinterpretation of the letter ‘X’: In informal computer mediated chat, the letter ‘X’ is often used to mean an action of ‘Kiss’, which is a positive sentiment, and thus recorded in SentiStrength’s dictionary. However, in technical domain, the letter is often used as a wildcard. For example, the sequence ‘1.4.x’ in the following comment is used to indicate a collection of versions/releases.

"1.4.x" (Comment ID: 18059)
"Integrated in Apache Wicket 1.4.x ..." (Comment ID: 20748)

Since SentiStrength uses dot (.) as a delimiter to split a text into sentences, the ‘x’ is considered a one-word sentence and is misinterpreted to have expressed positive sentiment.

(D4) Sentimental words in copy-pasted content (e.g., code): At commit, the developers often copy-paste code snippets, stack traces, URLs, and camel-case words (e.g., variable names) in their issue comment. Such copy-pasted contents often include sentimental words in the form of variable names and the like, which do not convey any sentiment of the committer, but SentiStrength detects those sentimental words and incorrectly associates those sentiments with the issue comment and the committer. Consider the following issue comment, which includes a copy-pasted stack trace.

"... Stack: [main] FATAL ...
 org.apache.xalan.templates .ElemTemplateElement.resolvePrefixTables ...
" (Comment ID: 9485)

Here, the words ‘FATAL’ and ‘Resolve’ (part of the camel case word ‘resolvePrefixTables’), are positive and negative sentimental words respectively in SentiStrength’s dictionary. Hence, SentiStrength detects both positive and negative sentiments in the issue comment, but the stack trace content certainly does not represent the sentiments of the developer/committer.

(D5) Difficulties in dealing with negations: For automated sentiment detection, it is crucial to identify the presence of any negation term preceding a sentimental word, because the negation terms invert the polarity of the sentimental words. For example, the sentence “I am not in good mood” is equivalent to “I am in bad mood”. When the negation of the positive word ‘Good’ cannot be identified as equivalent to the negative word ‘Bad’, then detection of sentimental polarity goes wrong. The default configuration of SentiStrength enables it to detect negation of a sentimental word only if the negation term is placed immediately before the sentimental word. In all other cases, SentiStrength fails to detect negations correctly and often detects sentiments exactly opposite of what is expressed in the text. During our investigation, we find substantial instances where SentiStrength is misled by complex structural variations of negations present in the issue comments.

For example, SentiStrength incorrectly identifies positive sentiment in the following issue comment due to failing to identify the negation of the positive sentimental word ‘Good’.

"3.0.0 has been released; closing ... I didn’t change the jute - don’t think this is a good idea; esp as also effects the ... Andrew could you take a look at this one?" (Comment ID: 1725)

In addition, we find that SentiStrength is unable to recognize shortened forms of negations such as, “haven’t”, “hasn’t”, “shouldn’t”, and “not” since these terms are not included in the dictionary.

(D6) Missing sentimental words in dictionary: Since the lexical approach of SentiStrength is largely dependent on its dictionary of lists of words (as discussed in Section II-E1), the tool often fails to detect sentiments in some texts when the sentimental words used in the texts are absent in the dictionary. For example, the words ‘Apology’ and ‘Oops’ in the following two comments express negative sentiments, but SentiStrength cannot detect them since those words are not included in its dictionary.

"...This is indeed not an issue. My apologies ..." (Comment ID: 20729)

"Oops; issue comment had wrong ticket number in it ..." (Comment ID: 36376)

(D7) Spelling errors mislead sentiment analysis: Misspelled words are common in informal text, and the writer often deliberately misspells words to express intense sentiments. For example, the misspelled word ‘Happy’ expresses more happiness than the correctly spelled word ‘Happy’. Although SentiStrength can detect some of such intensified sentiments from such misspelled sentimental words, its ability is limited to only those intentional spelling errors where repetition of certain letters occur in a sentimental word. Most other types (unintentional) of misspelling of sentimental words cause SentiStrength fail to find those words in its dictionary and consequently lead to incorrect computation of sentiments. For example, the word ‘Unfortunately’ was misspelled as ‘Unforunatly’ in an issue comment (comment ID: 11978) and “T’ll” was written as ‘ill’ in another (comment ID: 927). SentiStrength’s detection sentiments in both of these comments are found incorrect.

(D8) Repetitive characters considered sentimental: As described before, SentiStrength detects higher intensity of sentiments by considering deliberately misspelled sentimental word with repetitive letters. The tool also uses the same strategy for the same purpose by taking into account repetitive characters intentionally typed in words that are not necessarily sentimental by themselves. If anybody writes “I am going to watch movie” instead of “I am going to watch movie”, then the former sentence is considered positively sentimental due to emphasis on the word ‘Going’ by repetition of the letter ‘O’ for three times.

However, this strategy also misguides SentiStrength in dealing with some numeric values. For example, in the following comment, SentiStrength incorrectly identifies the number ‘20001113’ as a positive sentimental word encountering repetition of the digits ‘0’ and ‘1’.

"See bug 5694 for the ... 20001113 /introduction.html ... Zip file with test case (java source and XML docs) 1. Do you use deferred DOM? 2. Can you try to run it against Xerces2 beta4 (or the latest code in CVS?) 3. Can you provide a sample file? Thank you." (Comment ID: 6447)
(D₉) Wrong detection of proper nouns: A proper noun can rightly be considered neutral in sentiment. SentiStrength detects a word starting with a capital letter as a proper noun, when the word is located in the middle or end of a sentence. Unfortunately, grammar rules are often ignored in informal text and thus, sentimental words placed in the middle or end of a sentence often end up starting with a capital letter, which cause SentiStrength mistakenly disregard the sentiments in those sentimental words. The following issue comment is an example of such a case, where the sentimental word ‘Sorry’ starting with a capital letter is placed in the middle of the sentence and SentiStrength erroneously considers ‘Sorry’ as a neutral proper noun.

"Cool. Thanks for considering my bug report! ... About the title of the bug; in the description; I put: Sorry for the vague ticket title. I don’t want to make presumptions about the issue ... work for passwords." (Comment ID: 76385)

However, the older Windows version of SentiStrength does not have this shortcoming.

(D₁₀) Sentimental words in interrogative sentences: Typically, negative sentimental words in interrogative sentences (i.e., in questions) either do not express any sentiment or at least weaken the intensity of sentiment [54]. However, we have found instances where SentiStrength fails to correctly interpret the sentimental polarities of such interrogative sentences. For example, SentiStrength incorrectly identifies negative sentiment in the comment below, although the comment merely includes a question expressing no negative sentiment as indicated by the human raters.

"... Did I submit something wrong or duplicate? ..." (Comment ID: 24246)

(D₁₁) Difficulty in dealing with irony and sarcasm: Automatic interpretation of irony in text written in natural language is very challenging, and SentiStrength also often fails to detect sentiments from texts, which express irony and sarcasm [54]. For example, due to the presence of the positive sentimental words “Dear God!” in the comment below, SentiStrength detects positive sentiment in the sentence, although the comment poster used it in a sarcastic manner and expressed negative sentiment only.

"The other precedences are OK; as far as I can tell ... ’zzz’; Dear God! You mean the intent here is ... gotta confess I just saw the pattern and jumped to conclusions; hadn’t examined the code at all. But you’ve just made the job tougher ...?" (Comment ID: 61559)

(D₁₂) Hard to detect subtle expression of sentiments: Text written in natural language can express sentiments without using any inherently sentimental words. The lexical approach of SentiStrength fails to identify sentiments in such a text due to its high dependency on the dictionary of lists of words, and not being able to properly capture sentence structure and semantic meanings. Consider the following issue comment, which was labeled with negative sentiment by three human raters although there is no sentimental words in it. Without surprise, SentiStrength interprets it as a sentimentally neutral text.

"Brian; I understand what you say and specification about ‘serialization’ in XSLT not ‘indenting’. As I said before; indenting is just the thing that we easily see the structure and data of XML document. Xalan output is not easy to see that. The last; I think the example of non-whitespace characters is no relationship to indenting. non-whitespace characters must not be stripped; but whitespace characters could be stripped. Regards; Tetsuya Yoshida." (Comment ID: 10134)

III. LEVERAGING AUTOMATED SENTIMENT ANALYSIS

We address the challenges identified from our exploratory study as described in Section II-E2 and develop a tool particularly crafted for application in the software engineering domain. We call our tool SentiStrength-SE, which is built on top of the original SentiStrength. We now describe how we mitigate the identified difficulties in developing SentiStrength-SE for improved sentiment analysis in textual artifacts in software engineering.

Domain Dictionary Creation: Accuracy of sentiment analysis can be improved by adopting a domain-specific dictionary [18], [24], [46]. We, therefore, create a domain dictionary for software engineering texts, which helps in minimizing the domain difficulty $D₁$, the most frequent difficulty as identified in Table III. To create the dictionary, we collect a large dataset used in the work of Islam and Zibran [26]. This dataset consists of 490k commit messages drawn from 50 open-source projects from GitHub. Using Stanford NLP tool [53], we extract lemmatized forms of all the words in the commit messages. Among these words, we distinguish those, which are also included in the sentimental words’ list of SentiStrength’s dictionary. This, we distinguish a total of 716 words, which represent an initial software engineering vocabulary and also are considered sentimental words in general (by SentiStrength).

However, some of these 716 words are simply software engineering domain-specific technical terms, which otherwise would express emotions when interpreted in a non-technical area such as social networking, but not in software engineering. Moreover, some other words such as ‘Decrease’, ‘Eliminate’ and ‘Insufficient’ are very unlikely to contain sentiments in the software engineering domain. We employ the human raters (enumerated as A, B, C) to independently identify these domain words. Each of these three human raters has at least three years of software development experience. A human rater annotate a word as neutral if the word is highly unlikely to express any sentiment when interpreted in the software engineering domain. In Table IV, we present sentiment-wise percentage of cases where raters disagree. We also measure the degree of inter-raters agreement in terms
of Fleiss-κ [16] value. The obtained Fleiss-κ value 0.739 signifies substantial agreement among the independent raters. We consider a word as a neutral domain word when two of the three raters identify the word as neutral. Thus, 216 words are identified as neutral domain words, which we exclude from the list of 716 words. Such neutralization of words for a particular domain also suggested in other studies [26], [25], [45], [56]. For each of the remaining 500 words, we adjust the words spelling to discard possible variations at different tense and parts of speech. For example, the word ‘Amaze’ is converted to ‘Amaz?’ to capture the variations, ‘Amaze’, ‘Amazingly’, ‘Amazed’. Finally, we settle with 167 positively and 293 negatively polarized words in the dictionary of SentiStrength-SE. The newly developed dictionary also solves the problem D3 as the letter ‘X’ is kept out of the dictionary.

Adding contextual sense to minimize ambiguity: Indeed, neutralization of the 216 words is not always appropriate. For example, in the software engineering domain, the word ‘Fault’ typically indicates a program error and expresses neutral sentiment. However, the same word can also convey negative sentiment as found in the following comment.

"As WING ... My fault: I cannot reproduce after holidays ... I might add that one; too" the word ‘Fault’ expresses negative sentiment of the comment poster." (Comment ID: 4694)

Again, the word ‘Like’ expresses positive sentiment if it is used as ‘I like’, “We like”, “He likes”, and “They like”. In the most other cases the word ‘Like’ is used as preposition or subordinating conjunction and the word can safely be considered sentimentally neutral. For example, the following comment used the word ‘Like’ without expressing any sentiment.

"Looks like a user issue to me ..." (Comment ID: 40844)

We can observe from the above examples that some of the 216 neutralized words can actually express sentiments when those are preceded by pronouns referring to a person or a group of persons, e.g., ‘I’, ‘We’, ‘My’, ‘He’, ‘She’, ‘You’ and possessive pronouns such as ‘My’ and ‘Your’. This contextual information is taken into account in SentiStrength-SE to appropriately deal with the contextual use of those words in software engineering field to minimize the difficulties $D_1$ and $D_2$. The complete list of such words is given in the SentiStrength-SE dictionary file named ‘ModifiedTermsLookupTable’, which are also vetted by the three raters. Note that to determine the Part-Of-Speech (POS) of words in sentences, we apply the Stanford POS tagger [53].

Extending dictionary with new sentimental words and negations: During our exploratory study, we find several informal sentimental words such as, ‘Woops’, ‘Uhh’, ‘Oops’ and ‘zzz’, which are not included in the original dictionary. The formal word ‘Apology’ is also missing from the dictionary. We have added to the dictionary of our SentiStrength-SE all these missing words as sentimental terms with appropriate sentimental polarities, which mitigate the difficulty $D_6$.

In addition, we also add to the dictionary the missing shortened form of negation words as mentioned in the discussion of difficulty $D_5$ in Section II-E2.

Bringing neutralizers in effect: Our observations from the exploratory study (as presented in Section II) reveal that sentiment of a word can be neutralized if that word is preceded by any of the neutralizer words such as, ‘Would’, ‘Could’, ‘Should’, and ‘Might’. For example in the sentence “It would be good if the test could be completed soon” the positive sentimental word ‘Good’ does not express any sentiment as neutralized by the preceding word ‘Would’. We add a method in SentiStrength-SE to enable it correctly detect uses of such neutralizer words in sentences to be more accurate in sentiments detection. This helps in minimizing the difficulty $D_2$ described before.

Integration of a preprocessing phase: To minimize the difficulties $D_1$, $D_7$, $D_8$, and $D_9$ (as described in Section II-E2), we include a preprocessing phase to SentiStrength-SE as its integral part. Before computation of sentiments in a given input text, SentiStrength-SE applies this preprocessing phase to filter out numeric characters and certain copy-pasted contents such as code snippets, URLs and stack traces. To locate code snippets, URL’s and stack traces in text, we use regular expressions similar to the approach proposed by Bettenburg et al. [7]. In addition, a spellchecker [3] is also included to deal with the difficulty $D_7$ in identifying and rectifying misspelled English words. Spell checking also complements our regular expression based method in approximate identification of identifier names in code snippets.

To mitigate the difficulty $D_9$ in particular, the preprocessing phase also converts all the letters of a comment to small letters. However, converting all the letters to small letters can also cause failure of the detection of the proper nouns such as the names of developers and systems, which is also important as discussed in the description of difficulty $D_9$ in Section II-E2. From our exploratory study, we have observed that the developers typically mention their colleagues’ names in comments immediately after some sort of salutation words such as ‘Dear’, ‘Hi’, ‘Hello’, ‘Hellow’ or after the character ‘@’. Hence, in addition to converting all letters to lower case, the preprocessing phase also discards those words, which are placed immediately after any of those salutation words or the character ‘@’. In addition, SentiStrength-SE maintains the flexibility to allow the user to instruct the tool to consider any particular words as neutral in sentiment, in case an inherently sentimental word must be recognized as

| TABLE IV INTER-RATER DISAGREEMENTS IN INTERPRETATION OF SENTIMENTS |
|-----------------------------|-----------------------------|
| Sentimental Polarity | Disagreements between Human Raters |
| | A, B | B, C | C, A |
| Positive | 11.81% | 19.68% | 17.32% |
| Negative | 08.62% | 10.19% | 09.41% |
| Neutral | 18.13% | 11.81% | 15.09% |
proper noun, for example, to deal with the situation where a sentimental word is used as a system’s name.

Parameter configuration for handling negations: We carefully set a number of configuration parameters as defaults to SentiStrength-SE as shown in Figure 1. This default configuration of SentiStrength-SE is different from that of the original SentiStrength. Particularly, to mitigate the difficulty $D_3$ in dealing with negations, the negation’s configuration parameter marked with a black rectangle in Figure 1 is set to five in SentiStrength-SE, which enables the tool detecting negations over a larger range of proximity allowing zero to five intervening words between a negation and a sentimental word, as was also suggested in a previous study [24].

IV. EMPIRICAL EVALUATION OF SENTI STRENGTH-SE

While making the design and tuning decisions in the development of SentiStrength-SE, we remained careful about the possibility that the application of a particular heuristic for improvement in one area might have side-effects causing performance degradation in another criteria. We empirically evaluate the accuracy of SentiStrength-SE in two phases. In phase-1, we compare our tool with the original SentiStrength. In phase-2, we carry out a qualitative evaluation of the sentiment analysis of SentiStrength-SE.

In the comparative evaluations of phase-1, the accuracy of sentiment analysis is measured in terms of precision ($p$), recall ($r$), and $F$-score ($\beta$) computed for each of the three sentimental polarities (i.e., positivity, negativity, and neutrality). Given a set $S$ of textual contents, precision ($p$), recall ($r$), and $F$-score ($\beta$) for a particular sentimental polarity $e$ is calculated as follows:

$$p = \frac{|S_e \cap S'_e|}{|S'_e|}, \quad r = \frac{|S_e \cap S'_e|}{|S_e|}, \quad \beta = \frac{2 \times p \times r}{p + r}$$

where $S_e$ represents the set of texts having sentimental polarity $e$, and $S'_e$ denotes the set of texts that are detected (by tool) to have the sentimental polarity $e$.

In both the two phases of empirical evaluation of our SentiStrength-SE, we use the 5,600 issue comments in Group-2 and Group-3 of the “Gold Standard” dataset introduced in Section II-A. The ground truth about the sentimental polarities of those issue comments are determined based on the manual evaluations by human raters as described in Section II-C.

A. Phase-1: Comparison with Original SentiStrength

We separately operate the original SentiStrength and our SentiStrength-SE on the ‘Group-2’ and ‘Group-3’ datasets that contain 1,600 and 4,000 issue comments respectively. Then for each of the three sentimental polarities (i.e., positivity, negativity, and neutrality), we compare the tools’ outcome with the ground truth and separately compute precision, recall, and $F$-score for both the tools in each dataset.

Table V presents the precision, recall, and $F$-score of both the original SentiStrength and our SentiStrength-SE in the detection of positive, negative and neutral sentiments, and also the average over all these three sentimental polarities. As evident in Table V, our SentiStrength-SE significantly outperforms the original SentiStrength. Notice that the precisions of SentiStrength-SE for both positive and negative sentiments are higher than the original SentiStrength by large margins. Moreover, at the cost of paltry loss of recall for non-neutral sentiments, SentiStrength-SE achieves substantial increase in recall for sentimental neutrality. Thus, $F$-score values are always higher in SentiStrength-SE compared to original SentiStrength. Overall, on average, for all sentimental polarities, SentiStrength-SE clearly outperforms original SentiStrength.

B. Phase-2: Qualitative Evaluation of SentiStrength-SE

Although from the comparative evaluations we found our SentiStrength-SE superior to the original SentiStrength, SentiStrength-SE is not a foolproof sentiment analysis tool. Indeed, 100% accuracy cannot be a pragmatic expectation. Nevertheless, we carry out another qualitative evaluation of SentiStrength-SE with two

![Fig. 1. Default configuration of parameters in our SentiStrength-SE](Image)

**TABLE V**

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Sentiment</th>
<th>Metric</th>
<th>SentiStrength</th>
<th>SentiStrength-SE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group-2</td>
<td>Positive</td>
<td>Precision</td>
<td>74.30%</td>
<td>89.74%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>96.81%</td>
<td>98.02%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>54.31%</td>
<td>95.06%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Negative</td>
<td>Precision</td>
<td>28.24%</td>
<td>53.34%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>97.06%</td>
<td>96.09%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>45.19%</td>
<td>70.28%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Neutral</td>
<td>Precision</td>
<td>96.81%</td>
<td>96.06%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>52.32%</td>
<td>84.00%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>68.01%</td>
<td>89.97%</td>
<td></td>
</tr>
<tr>
<td>Group-3</td>
<td>Positive</td>
<td>Precision</td>
<td>31.09%</td>
<td>41.79%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>87.79%</td>
<td>77.59%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>46.58%</td>
<td>54.30%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Negative</td>
<td>Precision</td>
<td>47.01%</td>
<td>71.24%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>76.38%</td>
<td>74.38%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>59.32%</td>
<td>71.83%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Neutral</td>
<td>Precision</td>
<td>91.28%</td>
<td>87.96%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>96.10%</td>
<td>81.31%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>69.54%</td>
<td>84.82%</td>
<td></td>
</tr>
<tr>
<td>Overall</td>
<td>Average</td>
<td>Precision</td>
<td>61.09%</td>
<td>73.85%</td>
</tr>
<tr>
<td></td>
<td>Recall</td>
<td>78.54%</td>
<td>85.08%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>F-score</td>
<td>62.02%</td>
<td>77.48%</td>
<td></td>
</tr>
</tbody>
</table>
objectives: first, to confirm that the achieved accuracy found in the comparative evaluations did not occur by chance, and second, to identify failure scenarios and scopes for further improvements.

We first randomly pick 150 issue comments (50 positive, 50 negative, and 50 sentimentally neutral) from the Group-2 and Group-3 of the “Gold Standard” dataset for which SentiStrength-SE correctly detected the sentimental polarities. From our manual verification over these 150 issue comments, we are convinced that the design decisions, heuristics, and parameter configuration adopted in SentiStrength-SE have positive impacts on the accurate detection of sentimental polarities.

Next, we randomly choose another 150 issue comments (50 positive, 50 negative, and 50 sentimentally neutral) for which SentiStrength-SE failed to correctly detect the sentimental polarities. Upon manual investigation of those 150 issue comments, we find a number of reasons for the inaccuracies, a few of which are within the scope of the design decisions applied to SentiStrength-SE, and the rest falls beyond, which we discuss in Section V. One of the reasons for failure is missing sentimental terms in our newly created domain dictionary. For example, SentiStrength-SE incorrectly identified the following comment as neutral in sentiment by misinterpreting the sentimental word ‘Stuck’ as a neutral sentimental word, since the word was not included in the dictionary, which we add to the dictionary of SentiStrength-SE’s release.

"For the first part, I got stuck on two points" (Comment ID: 1610758_3)

Some other cases we have found inconsistencies in human rating of sentiments in issue comments, which are liable for inaccuracy in SentiStrength-SE. For example the following comment is rated as neutral in sentiment by human raters, although that contains the positive sentimental term ‘Thanks’ along with the exclamatory sign ‘!’.  

"And many thanks to you Oliver for applying this so quickly!" (Comment ID: 577184_1)

A detail investigation have revealed that 200 issue comments are wrongly interpreted in Group-3 by human raters that cause low accuracy in SentiStrength-SE for detecting positive sentiment.

Although the additional preprocessing phase of SentiStrength-SE filters out unwanted content such as source code, URL, numeric values from the input texts, we found several instances where such contents escaped the filtering technique and misguided the tool.

In a few cases, we found that our heuristics to identify proper nouns fell short for not taking into account probable cases. For example, SentiStrength-SE incorrectly computed negative sentiment in the following issue comment.

As seen in the following comment a developer thanked his colleague name ‘Harsh’.

"Thanks Harsh, the patch looks good
... Since this is a new API, we are not sure if want to change it. Let’s leave it as-is for the moment." (Comment ID: 899420)

For failing to identify ‘Harsh’ as a proper noun, SentiStrength-SE considered the word sentimentally negative and erroneously detects negative sentiment in the message. Our immediate future plan includes further extension to our heuristics for locating proper nouns in text.

V. LIMITATIONS AND FUTURE POSSIBILITIES

In the development of SentiStrength-SE, we have addressed the difficulties identified from the exploratory study described in Section II. Still there are scopes for further improvements, as we also found from the qualitative evaluation of the tool. For example, we have observed in Section IV-B that missing of sentimental words can mislead the SentiStrength-SE. We plan to further extend our domain dictionary to a comprehensive lexicons list.

Our approach for domain dictionary creation is different from existing approaches [8], [15], [44]. We have deliberately chosen this approach for two reasons. First, we wanted to introduce a new approach, and second, it was not possible to adopt existing approaches due to limitation of resources such as sentiment-annotated texts in software engineering [42]. Through empirical evaluations, we have shown that our created domain dictionary is effective for sentiment analysis in software engineering.

Although our approach for filtering out code snippets may not correctly locate all code portions, but the filtering indeed minimizes them. Indeed, isolating inline source code from plain text content is a challenging task, especially when the text can have code written in diverse undeclared programming language. Such a code separation problem can be a separate research topic and limited scope attempts are made in the past [5]. We also plan to invest efforts along this direction to further improve SentiStrength-SE.

At this stage, we did not address the difficulties $D_{10}, D_{11},$ and $D_{12},$ which are included in our future plan. The detection of irony, sarcasm, and subtle emotions hidden in text is indeed a challenging research topic in NLP and not only related to software engineering texts. Even human interpretations of sentiments in text often disagree as such we also found in the “Gold Standard” dataset. Combining the dictionary-based lexical method with machine learning [48] and other specialized techniques [6] can lead to potential means to address these difficulties. We also plan to add to SentiStrength-SE the capability to identify interrogative sentences correctly mitigate the difficulty $D_{10}.$

VI. RELATED WORK

To the best of our knowledge, the qualitative study (Section II), is the first study that analyzes public benchmark dataset to expose the challenges to sentiment analysis in software engineering. And, we have developed the first sentiment analysis tool, SentiStrength-SE, crafted especially for software engineering domain, which we expect to produce superior performance in other technical domains as well.
Aside from our tool, there are only four prominent tools/toolkits namely, SentiStrength [54], Stanford NLP [53], NLTK [36], and Alchemy [1], which facilitate automatic sentiment analysis in plain texts. The first three of these tools have been used for sentiment analysis in software engineering domain, while SentiStrength is used most frequently as presented in Table VI. Those tools, which are previously used in software engineering area, but not for sentiment analysis, are excluded from the table.

<table>
<thead>
<tr>
<th>TABLE VI</th>
<th>USES OF TOOLS FOR SENTIMENT ANALYSIS IN SOFTWARE ENGINEERING</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tools</td>
<td>Uses in Software Engineering Research</td>
</tr>
<tr>
<td>SentiStrength [54]</td>
<td>[9], [12], [17], [20], [21], [22], [23], [27], [28], [39], [40], [41], [51], [55], [56]</td>
</tr>
<tr>
<td>NLTK [36]</td>
<td>[45], [49]</td>
</tr>
<tr>
<td>Stanford NLP [53]</td>
<td>[47]</td>
</tr>
</tbody>
</table>

Alchemy [1] is a commercial toolkit that offers limited sentiment analysis as a service through its published APIs. NLTK (Natural Language Toolkit) [36] and Stanford NLP [53] are general purpose natural language processing (NLP) library/toolkit, which expect the user to have some NLP background and to write scripting code for carrying out sentiment analysis in plain text. In contrast, SentiStrength is a dedicated tool that applied a lexical approach for automated sentiment analysis and is ready to operate without needing to write any scripting code (for natural language processing). Perhaps, these are among the reasons why, in software engineering community, SentiStrength has gained popularity over the alternatives. The same reasons also made us choose this particular tool as the basis of our work. Our SentiStrength-SE reuses the lexical approach of the original SentiStrength and is also ready to be used off the shelf.

All of the aforementioned four tools (i.e., SentiStrength [54], Stanford NLP [53], NLTK [36], and Alchemy [1]) are developed and trained to operate on non-technical texts drawn from social interactions, web pages, and they do not perform well enough when operated in a technical domain such as software engineering. Domain-specific (e.g., software engineering) technical uses of inherently emotional words seriously mislead the sentiment analyses of those tools [28], [39], [45], [56] and limit their applicability in software engineering area. Medhat et al. [33] conducted a survey on 54 studies, which included sentiments analysis in text, but none of the studies included text from software engineering domain.

In an attempt to minimize the domain difficulty, a variety of machine learning techniques such as, Naive Bayes classifier, Support Vector Machine (SVM) [43], and Logistic Regression [11] have been explored. However, the performances of all these three classifiers are reported lower than the lexical approach when operated on domain-specific texts [34].

Recently, Blaz and Becker [8] proposed three almost equally performing methods, a Dictionary Method (DM), a Template Method (TM) and a Hybrid Method (HM) for sentiment analysis in “Brazilian Portuguese” texts in IT (Information Technology) job submission tickets. The DM is a pure lexical approach similar to that of our SentiStrength-SE. Although their techniques might be suitable for formally structured texts, those may not perform well in dealing with informal texts that are frequently used in software engineering artifacts such as commit comments. In contrast, from the empirical evaluation over commit comments, our SentiStrength-SE is found to have high accuracy in detecting sentiments in those informal software engineering texts. The proposed methods of Blaz and Becker [8] are developed and evaluated against text written in “Brazilian Portuguese” language instead of English. Thus, their approach and reported results are not directly comparable to ours.

Similar to the qualitative study included in our work, Novielli et al. [39] also conducted a relatively brief study of the challenges against sentiment analysis in “social programmer ecosystem”. They also used SentiStrength for the detection of emotional polarities and reported only domain difficulty as a key challenge. In their work, they manually studied only 100 questions and their follow-up comments as well as 100 answers and their follow-up discussions obtained from Stack Exchange Data Dump [4]. In contrast, based on a deeper analysis over a publicly available benchmark data, our study exposes 12 difficulties including the domain dependency. In addition, we address those difficulties and develop an improved sentiment analysis tool for operation in software engineering domain.

**VII. Conclusion**

In this paper, we have first presented an in-depth qualitative study to identify the difficulties in automated sentiment analysis in software engineering texts. Among the difficulties, the challenges due to domain dependency are found the most dominant. Next, we have addressed the majority of the identified difficulties and developed a tool, SentiStrength-SE, for improved sentiment analysis in textual contents in a technical domain, especially in software engineering. Our tool reuses the lexical approach of SentiStrength [54], which, in software engineering, is the most widely adopted sentiment analysis technique.

Over a large dataset (i.e., Group-2 and Group-3) consisting of 5,600 issue comments, a quantitative empirical comparison with the original SentiStrength [54] suggests that our SentiStrength-SE is substantially superior to the state of the art tool in detecting emotions in software engineering textual contents. In addition, a qualitative evaluation also confirms the effectiveness of the design decisions and heuristics we have included in our SentiStrength-SE.

Both from the exploratory study and qualitative evaluation of our sentiment analysis tool, we have also identified scopes for further improvements of the tool, which remain within our future research plans. Using SentiStrength-SE and its future releases, we also plan to conduct large scale studies of emotional variations and their impacts in software engineering. The current release of our SentiStrength-SE is made freely available [50] for public use.
REFERENCES


